Attorney Docket No. UTILITY 240882US0 PATENT APPLICATION First Inventor or Application Identifier Tomohisa KONNO, et al. TRANSMITTAL AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING AND (Only for new nonprovisional applications under 37 CFR 1.53(b)) PRODUCTION PROCESS OF SEMICONDUCTOR DEVICE Assignee Name: JSR Corporation Assignee Address: 6-10, Tsukiji 5-chome, Chuo-ku, Tokyo 104-0045 JAPAN ე:დ Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Mail Stop Patent Application See MPEP chapter 600 concerning utility patent application contents Alexandria, Virginia 22313 Fee Transmittal Form (e.g. PTO/SB/17) **ACCOMPANYING APPLICATION PARTS** (Submit an original and a duplicate for fee processing) 7. Assignment Papers (cover sheet & document(s)) **Total Sheets** Specification 54 Application Data Sheet. See 37 CFR 1.76 37 C.F.R. §3.73(b) Statement Power of (when there is an assignee) Attorney 10. English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) Total Sheets Information Disclosure Copies of IDS 11. Statement (IDS)/PTO-1449 Citations **Total Pages** 12. Preliminary Amendment Oath or Declaration Newly executed (original) White Advance Serial No. Postcard a. Copy from a prior application (37 C.F.R. §1.63(d)) Certified Copy of Priority Document(s) (1) 14. b. (for continuation/divisional with box 17 completed) (if foreign priority is claimed) DELETION OF INVENTOR(S) Applicant claims small entity status. Signed statement attached deleting inventor(s) named in 15. 🔲 See 37 CFR 1.27 the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b). Other: Request for Priority CD-ROM or CD-R in duplicate, large table or Computer 5. Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) ☐ Computer Readable Form (CRF) b. Specification or Sequence Listing on: ☐ CD-ROM or CD-R (2 copies); or □ Paper c. Statements verifying identity of above copies 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: of prior application no.: ☐ Continuation-in-part (CIP) ☐ Continuation Divisional **Group Art Unit:** Prior application information: Examiner: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18. Amend the specification by inserting before the first line the sentence: ☐ Continuation-in-part (CIP) ☐ This application is a Continuation □ Division of application Serial No. Filed on Filed ☐ This application claims priority of provisional application Serial No. 19. CORRESPONDENCE ADDRESS



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